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CERTIFICATE OF MAILING

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ELI LILLY AND COMPANY

By

*Y. R. Rhodes*

Date: April 12, 2002

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant	:	James A. Hoffmann	)	Confirmation No. 4243
Serial No.	:	10/072,540	)	Group Art Unit: 1653
Filed	:	February 8, 2002	)	Attention: Branch Manager
For	:	GLP-1 FORMULATIONS	)	
Docket No.	:	X-11368A	)	

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS**  
**FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE**  
**AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Assistant Commissioner for Patents  
Arlington, VA 22202  
Attention: Box Sequence

Sir:

This is in response to a "Notice to Comply With Requirements For Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures" dated March 15, 2002, noting the absence of a statement that the content of the sequence listing information recorded in computer readable form is identical to the written sequence listing.

**BEST AVAILABLE COPY**

Enclosed herewith are:

- 1) a copy of the Notice;
- 2) a computer-readable copy of the Sequence Listing pursuant to 37 C.F.R. § 1.821(e);
- 3) a paper copy of the Sequence Listing pursuant to 37 C.F.R. § 1.821(c);  
and
- 4) an affirmation pursuant to 37 C.F.R. § 1.821(f).

Respectfully submitted,



Gregory A. Cox  
Agent for Applicant  
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Eli Lilly and Company  
Patent Division/GAC  
Lilly Corporate Center  
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Apr 11, 2002

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D C 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/072,540	02/08/2002	James Arthur Hoffmann	X-11368A

25885  
ELI LILLY AND COMPANY  
LILLY CORPORATE CENTER  
DROP CODE 1104  
INDIANAPOLIS, IN 46285

CONFIRMATION NO. 4243

## FORMALITIES LETTER



\*OC000000007654418\*

Date Mailed: 03/15/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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